Adolescent & Young Adult Health Care in Minnesota

A Guide to Understanding Consent & Confidentiality Laws

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Contributors

This publication was created for the Adolescent & Young Adult Health National Resource Center by Abigail English, JD, of the Center for Adolescent Health & the Law, in collaboration with the Association of Maternal & Child Health Programs (AMCHP); the National Adolescent & Young Adult Health Information Center (NAHIC) at the University of California, San Francisco (UCSF); the State Adolescent Health Resource Center (SAHRC) at the University of Minnesota; and the University of Vermont National Improvement Partnership Network (NIPN).

Adolescent & Young Adult Health National Resource Center

The National Adolescent and Young Adult Health National Resource Center (AYAH-NRC) – supported by the Maternal and Child Health Bureau – was established in September 2014 to help states improve receipt and quality of preventive services among adolescents and young adults. The AYAH-NRC is housed at the National Adolescent and Young Adult Information Center at the University of California, San Francisco, in close partnership with: the Association of Maternal & Child Health Programs; the University of Minnesota State Adolescent Health Resource Center; and the University of Vermont National Improvement Partnership Network. The Center aims to promote adolescent and young adult health by strengthening the abilities of State Title V MCH Programs, as well as public health and clinical health professionals, to better serve these populations (ages 10-25).

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The Center for Adolescent Health & the Law supports laws and policies that promote the health of adolescents and young adults and their access to comprehensive health care. Working nationally, the Center clarifies the complex legal and policy issues that affect access to health care for the most vulnerable youth in the United States. The Center provides information and analysis, publications, consultation, and training to health professionals, policy makers, researchers, and advocates who are working to protect the health of adolescents and young adults.

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Adolescent & Young Adult Health Care in Minnesota
A Guide to Understanding Consent & Confidentiality Laws

Abigail English, JD
Center for Adolescent Health & the Law

This guide provides a summary of legal consent requirements and confidentiality protections for adolescents and young adults in Minnesota to inform health care providers and promote access to essential health care including preventive health services.

INTRODUCTION

Confidentiality protections encourage adolescents and young adults to seek the health care they need and safeguard their privacy when they receive services. The relationship between confidentiality of health information and consent for health care is important. The specific ways the law protects confidentiality depend on whether a patient is a minor or an adult and whether the patient can legally consent to their own care. Some adolescents are minors—under age 18—and some are young adults—age 18 or older.

Young adults almost always may consent to their own care; minors may consent sometimes, but not always. Young adults are entitled to the same confidentiality protections under state and federal laws as other adults.

“Minor consent laws” allow minors to consent for their own care in specific situations and for specific services. Laws authorizing minors to consent and laws protecting confidentiality are closely linked but they do not always match each other. Adolescent minors who consent for their own care are entitled to many confidentiality protections; but these may be qualified or limited in ways that allow for disclosure of some information to parents or others.

Numerous federal and state laws contain confidentiality protections for health information. The interplay of law and ethics also is important in understanding confidentiality in the health care of adolescents and young adults. Careful analysis of the relevant state and federal laws, informed by sound ethical principles, can clarify these issues in Minnesota as in other states.

IMPORTANCE OF PROTECTING CONFIDENTIALITY

There are numerous reasons to protect confidentiality for the health care communications and health information of adolescents and young adults. The most compelling is to encourage young people to seek necessary care on a timely basis and to provide a candid and complete health history when they do so. Additional reasons include supporting their developing sense of privacy and autonomy as well as protecting them from the humiliation and discrimination that can result from disclosure of confidential information. Offering confidential care can also help young people develop their capacity to engage independently with the health care system. Decades of research findings have documented the importance of privacy concerns for young people in the adolescent age group; additional research has found similar concerns among young adults. Overarching goals of confidentiality protection include promoting both the health of individual young people and the public health. One key element of reaching these goals is ensuring that young people receive the health care services they need.
Privacy concerns influence use of health care in many ways. Many adolescents are concerned about disclosure to their parents of information related to sexual behaviors, substance use, and mental health. This is true even though many adolescents voluntarily share a lot of health information with their parents and other trusted adults. Voluntary communication can be very helpful in supporting adolescents’ and young adults’ health; mandated communication and disclosure can be counterproductive unless they are necessary to protect the health of a young person. Specifically, concerns about confidentiality and disclosure can affect whether adolescents seek care, \(^1,^2,^3\) where they seek care, \(^4,^5\) and how openly they talk with health care professionals. \(^6\) Some young adults also hesitate to use certain services unless privacy can be maintained. \(^7\) Concerns that confidentiality will not be protected can lead adolescents and young adults to forego or delay care or to be less than candid when they do see a health care provider. (See Appendix G)

The effect of privacy concerns has been especially well documented with respect to adolescents’ use of sexual health services, including care related to contraception, pregnancy, and sexually transmitted diseases (STDs). For example, one study found that almost all adolescents would consent to STD testing if their parents would not know, but only about one third would agree if their parents would or might know. \(^8\) According to another study, nearly one half of adolescents would stop using family planning clinic services if parental notification were mandatory. \(^9\) Yet, a national survey found that only a very small minority of adolescents would stop having sex if parental notification were mandatory for contraceptives, and a significant percentage would have riskier sex. \(^10\)

Health care professional organizations recognize the importance of confidentiality protections in health care. These organizations have adopted codes of ethics and policies that address privacy and confidentiality protections for patients generally, including young adults and adolescents. \(^11\) They also have adopted policies related to adolescent health care that address confidentiality for particular health care settings, special populations, and specific services—preventive health care, testing & treatment for STDs & HIV, contraception, pregnancy-related care, and other reproductive health services. These policies often speak to the importance of informing patients, including adolescents and their parents, about confidentiality and its limits.
Confidentiality is not absolute. To understand the scope and limits of legal and ethical confidentiality protections, it is important to clarify: what may not be disclosed because it is confidential and none of the exceptions to confidentiality apply; what may be disclosed based on the discretion of the health care professional; and what must be disclosed because there is another requirement, such as a reporting requirement, that overrides confidentiality.

Emerging Confidentiality Challenges

Two sets of issues represent increasing challenges for protecting confidentiality in adolescent and young adult health care. The first set comprises the issues associated with billing and health insurance claims, particularly the use of explanations of benefits (EOBs) to communicate with health insurance policyholders. The second relates to the complex questions associated with use of and access to electronic health records (EHRs) and web portals. In these arenas, laws and policies as well as best practices are evolving rapidly. Thorough discussion of these issues is beyond the scope of this guide, but considering them is essential in any effort to protect confidentiality for adolescents and young adults.

MINNESOTA HEALTH CARE CONSENT LAWS

The age of majority in Minnesota is 18; anyone younger than age 18 is legally a minor. Young adults age 18 or older are allowed to consent for their own health care; their right to consent may be limited if they are cognitively impaired and unable to give informed consent. For adolescents who are minors, the consent of a parent or another authorized adult is generally required. There are many exceptions to this requirement contained in Minnesota’s “minor consent laws.” (See Table 1 and Appendix A) In the absence of an explicit state law, the mature minor doctrine may provide support for a minor to receive health care based on their own consent. (See Appendix F)

Minor Consent Laws in Minnesota

Minnesota has several laws authorizing minors to consent for their own health care based on their status. These laws allow minors living apart from their parents, married minors, and minor parents to consent for their own care. Although Minnesota does not have a formal procedure for minors to become legally emancipated for general purposes, these groups of minors who are authorized to consent for their own care based on their status are sometimes referred to as “medically emancipated.” In some situations, “mature minors” may be able to consent for their own care, although Minnesota does not have an explicit statute authorizing them to do so. (See Appendix F)

Confidentiality is not absolute. Confidential information must be disclosed:
- To comply with reporting mandates
  - Child abuse
  - Communicable disease
  - Assaults such as knife or gunshot wounds
  - Domestic violence
- When a patient is dangerous to self or others

Linkage of consent & confidentiality
“Consent” & “confidentiality” are not perfectly matched but are closely linked in:
- Clinical practice
- Ethical standards
- Professional policies
- State & federal laws
- State & federal laws
Minors who are not explicitly authorized to consent for all of their own care based on their status may nevertheless be able to do so for specific services. Minnesota has several laws either allowing minors to receive certain services without prior parental consent or authorizing them to consent for specific health care services, including many preventive services. These laws cover specific services in the following categories: emergency care, family planning services, pregnancy related care, STD and HIV care, drug/alcohol care, mental health services, hepatitis B vaccination, and tuberculosis screening. Minors may also access emergency contraception without parental consent. Minnesota law contains detailed requirements for minors to receive abortion services. (See Table 1 and Appendix A) Minnesota law also provides for “expedited partner therapy” or EPT that allows STD prescription to a patient’s partner.

Minnesota’s minor consent laws provide that a minor who consents for care is financially responsible for the cost of the services. The laws also provide that a health care professional may rely in good faith on the representations of minors that they are entitled to consent for their own care.

**Minors in Special Situations**

Some adolescent minors are in special situations or have health care needs that are not clearly addressed by the Minnesota minor consent laws. These include, for example, adolescents who are victims of sexual assault or human trafficking, or LGBTQ youth. Even though the state’s minor consent laws do not explicitly provide for these adolescents to consent for specific services such as care for sexual assault or transgender services, they are able to consent—on the same basis as any other minor—for other services that are covered by the minor consent laws, such as care for STDs and HIV, contraception, substance abuse services, and mental health counseling in some circumstances. Often these services are relevant to their special situations.

When adolescents are in foster care, special rules may determine who can give consent for their health care—their parents, their social worker, or the court. In Minnesota, the social service agency has responsibility for ensuring oversight and continuity of care for the foster child. However, foster children should be able to consent for their own health care on the same basis as other youth.

**MINNESOTA CONFIDENTIALITY LAWS**

Minnesota laws include protections for the health care information of individuals of all ages, including minor adolescents and young adults. Minnesota laws generally provide confidentiality protection for medical records and patients’ health information and usually require consent for release of the records or disclosure of the information subject to certain exceptions. The laws also contain provisions that are specific to the confidentiality of minors’ health information, particularly with respect to parents’ access to that information. (See Tables 2 & 3 and Appendices A & B)

**Confidentiality Laws for Minors in Minnesota**

Confidentiality protections and consent requirements for minors are closely linked but not perfectly matched. Generally, when minors may consent for their own health care they can expect confidentiality protection, but there are exceptions. The Minnesota laws that allow minors to consent for their own health care also allow the health care provider to inform the parents if failure to do so would seriously jeopardize the health of the minor.
Minnesota law provides that medical records are accessible to both the minor patient and the parent except when the minor has consented to care, when the minor controls access.27 (See Table 1 and Appendix A) One of the main exceptions to confidentiality is the requirement to report child abuse. In Minnesota, health care providers who know or have reason to believe that a minor is being abused, or has been abused within the past three years, by a parent or person responsible for the child, are required to make a report to child welfare or law enforcement.28,29 The Minnesota definition of child abuse includes physical abuse; neglect; sexual abuse, including sexual exploitation or sex trafficking; and emotional abuse. A related concern of health care professionals is the age at which minors can participate in sexual activity without risk of criminal prosecution—sometimes referred to as “age of consent.” That issue is legally separate from the requirement to report child abuse; a detailed discussion is beyond the scope of this guide.30

When considering the child abuse reporting laws, it is important to distinguish sexual abuse from sexual assault, because they have different legal consequences. In Minnesota, according to a set of legal guidelines for professionals published by the Hennepin County Medical Center, the “distinction between the two is the relationship of the offender to the minor and the fact that health care professionals are mandated to report sexual abuse. It is a case of sexual abuse if the offender is responsible for the minor’s care, is in a position of authority over the minor, or has a significant relationship to the minor. It is a case of sexual assault if the offender is a stranger or does not meet the criteria for sexual abuse as listed above.”31 Based on recent amendments to Minnesota’s child abuse reporting law, sexual abuse also includes acts involving a minor that constitute prostitution or sex trafficking offenses in which the offender may or may not be a person responsible for the minor’s care, in a position of authority, or having a significant relationship to the minor.32

All of these Minnesota laws must be interpreted and applied in the context of the full range of federal laws that protect confidentiality and sometimes supersede state laws. (See Tables 2 & 3 and Appendix B) Important federal confidentiality laws include the HIPAA Privacy Rule, as well as legal requirements for numerous federally funded health programs. Because the HIPAA Privacy Rule defers to state laws and other applicable laws on the question of when parents have access to their adolescent minor children’s health information, understanding the relationship between state and federal laws is essential.

**FEDERAL CONFIDENTIALITY LAWS**

Numerous federal laws contain confidentiality protections. These laws protect patients’ privacy in the health care system and the confidentiality of their health information. Federal confidentiality laws that are of particular importance for adolescent and young adult health care include the HIPAA Privacy Rule and FERPA, as well as statutes and regulations for the Title X Family Planning Program and Medicaid, and the rules for drug and alcohol programs. Confidentiality protections can also be found in requirements for other programs such as the Ryan White HIV/AIDS Program and federally qualified health centers (FQHCs). (See Tables 2 & 3 and Appendix B)

<table>
<thead>
<tr>
<th>Legal sources of confidentiality protection</th>
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<tbody>
<tr>
<td>▪ Constitutional right of privacy</td>
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<tr>
<td>▪ HIPAA Privacy Rule</td>
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<tr>
<td>▪ Federal education privacy laws</td>
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<tr>
<td>▪ Federal &amp; state funded health program requirements</td>
</tr>
<tr>
<td>▪ State minor consent laws for health care</td>
</tr>
<tr>
<td>▪ State medical confidentiality &amp; medical records laws</td>
</tr>
<tr>
<td>▪ Evidentiary privileges</td>
</tr>
<tr>
<td>▪ Professional licensing laws</td>
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</tbody>
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HIPAA Privacy Rule

The HIPAA Privacy Rule—the federal medical confidentiality regulations issued in 2002 under the Health Insurance Portability and Accountability Act—protects the health care information of adolescents and young adults. The HIPAA privacy protections for young adults are the same as for other adults: they are entitled to access their protected health information and to control the disclosure of that information in some circumstances. Additional specific requirements apply to the information of adolescents who are minors.

When minors are authorized to consent for their own health care and do so, the HIPAA Privacy Rule treats them as “individuals” who are able to exercise rights over their own protected health information (PHI). Also, when parents have acceded to a confidentiality agreement between a minor and a health professional, the minor is considered an “individual” under the Rule.

Generally, the HIPAA Privacy Rule treats parents as the “authorized representative” and gives them access to the health information of their unemancipated minor children, including adolescents. Parents’ access is limited in situations that involve abuse or endangerment or when it would not be in the minor’s best interest. However, when minors are considered “individuals,” their parents are not necessarily their authorized representative. On the issue of when parents may have access to protected health information for minors who are considered “individuals” and who have consented to their own care, the Rule defers to other laws. Parents’ access to their adolescent minor child’s information in these circumstances depends on “state or other law.”

Thus, a health care provider must look to state laws or other laws to determine whether they specifically address the confidentiality or disclosure of a minor’s health information. State or other laws that explicitly require, permit, or prohibit disclosure of information to a parent are controlling. If state or other laws are silent on the question of parents’ access, a health care professional exercising professional judgment has discretion to determine whether or not to grant access. The relevant sources of state or other law that a health care provider must consider include all of the state and federal laws that contain confidentiality protections.

Additional provisions of the HIPAA Privacy Rule that are important for both adolescents and young adults are those that allow individuals to request restrictions on the disclosure of their PHI and to request that communications regarding their PHI occur in a confidential manner. Other protections address situations in which disclosure may be restricted to protect individuals who may be at risk for domestic violence or child abuse.

FERPA

When health care services are provided in a school setting, the legal framework for consent to treatment for adolescents remains generally the same as in other settings; however, different confidentiality rules may apply. In a school setting, the HIPAA Privacy Rule requirements must be understood in relation to the requirements of the Family Educational Rights and Privacy Act (FERPA), a federal statute that, with its implementing regulations, controls the disclosure of the educational records of students at most primary, secondary, and post-secondary schools. Health care professionals who provide services in schools often are uncertain whether they must follow the HIPAA Privacy Rule or FERPA. Two federal agencies—the Department of Health & Human Services and the Department of Education—have issued joint guidance that provides some clarification.
While the HIPAA Privacy Rule typically controls release of health information created by health care professionals, the HIPAA Privacy rule explicitly excludes from its purview health records that are part of an “education record” as that is defined under FERPA. FERPA defines “education record” in a way that sometimes can include health records created by a health care provider—such as a school nurse—employed by or acting on behalf of a school or university.

Thus, health records created by medical professionals employed by a school or university may be part of an “education record” and subject to FERPA rather than HIPAA. The most important implication of this is that parents have access to the education records of their minor children. Young adults, beginning at age 18, control access to their own education records under FERPA, including any health information. Health records created by medical professionals working in a school setting such as a school-based health center but employed by a health entity would usually be covered by HIPAA, not FERPA.

**Title X Family Planning**

The confidentiality regulations for the federal Title X Family Planning Program are exceptionally strong and have protected adolescents as well as adults for nearly five decades. Federal Title X confidentiality protections take precedence over state requirements for parental consent or notification, allowing minors to receive family planning services at Title X sites without parental involvement. The regulations require that all information about individuals receiving services must be confidential and must not be disclosed without the individual’s documented consent, except as necessary to provide services to the patient or as required by law—and, even then, only with appropriate safeguards for confidentiality. When information is shared by Title X providers with other health care providers, care must be taken to understand the extent to which those other providers are bound by similar confidentiality requirements. Examples of disclosures that are often required by law include mandatory reporting of child abuse to child welfare or law enforcement, intimate partner violence to law enforcement, and STDs to public health authorities. In each of these situations, other specific confidentiality rules may apply.

On March 4, 2019 the U.S. Department of Health and Human Services published a final rule, “Compliance with Statutory Program Integrity Requirements,” that would significantly alter the federal regulations for the Title X Program. This guide does not discuss the changes that would result from implementation of the new rule. Detailed analysis of the rule and updates on its status are available elsewhere. The new rule has been challenged in numerous lawsuits.

**Medicaid**

Federal Medicaid law contains safeguards against disclosure of confidential information. It also requires that Medicaid cover family planning “services and supplies” for all Medicaid enrollees of childbearing age, including “minors who can be considered to be sexually active.” These protections have been interpreted to provide significant protection for confidential access to family planning services for minors. State laws and policies also contain varied provisions that help to protect the privacy of Medicaid beneficiaries and their confidential health information. These provisions include both general confidentiality requirements and specific confidentiality protections for information related to family planning services, such as through states’ Medicaid family planning expansions that include coverage for minors as well as young adults.
**Drug and Alcohol Programs**

Federal regulations—contained in 42 CFR Part 2, and often referred to as “Part 2,” establish special confidentiality protections for substance use records;\(^{59,60}\) they apply to “substance use disorder programs” that meet certain very broad criteria of being “federally assisted.”\(^{61}\) The regulations protect both adolescent minors and young adults. When minors are allowed to consent for treatment under state law, they have independent rights under the federal regulations.\(^{62}\) For those providers and programs that must comply with the federal rules, the regulations impose strict confidentiality requirements that do not allow disclosure without the consent of the patient except in specific circumstances that pose a substantial threat to the life or physical wellbeing of the patient or another person.\(^{63}\) To the extent that these federal regulations are more protective of confidentiality, they take precedence over state law; if they are less protective, state law controls.\(^{64}\)

**Ryan White HIV/AIDS Program**

The Ryan White HIV/AIDS Program (Ryan White) supports some medical services for patients with HIV.\(^{65}\) Ryan White generally is a payer of last resort and fills the gaps for individuals with HIV who have no other source of coverage or face coverage limits. Ryan White service providers and patients have significant concerns about confidentiality, but like other federal funding programs such as Title X, the Ryan White law includes strong and explicit confidentiality protections.\(^{66}\)

**Federally Qualified Health Centers**

Federally qualified health centers (FQHCs) funded under Section 330 of the Public Health Service Act,\(^{67}\) also frequently referred to as “community health centers,” often provide services for adolescents and young adults. For example, some FQHCs operate school-based health centers. FQHCs also are required to provide preventive health services, including voluntary family planning services and many of the preventive services recommended for adolescents and young adults;\(^{68}\) and some FQHCs receive Title X funds to help provide family planning services. FQHCs are required to maintain the confidentiality of patient records\(^{69}\) and, if they receive Title X Family Planning funds, to comply with Title X confidentiality regulations. The confidentiality regulation for FQHCs\(^{70}\) contains language almost identical to the Title X confidentiality regulations.\(^{71}\)

**Confidentiality and Preventive Services**

**Recommended preventive services for adolescents & young adults**

The U.S. Preventive Services Task Force (USPSTF) and Bright Futures have recommended clinical preventive services for adolescents and young adults in each of these categories:

- substance use
- sexual and reproductive health
- mental health
- nutrition and exercise
- immunizations
- safety and violence
In each category, the specific services recommended by the USPSTF vary for adolescents and for young adults; in Bright Futures the recommendations are for ages 11-21. The AYAH National Resource Center has issued a fact sheet on “Evidence-Based Clinical Preventive Services for Adolescents and Young Adults” that sets out the specific services recommended for the different age groups in each category.72

Many of the preventive services recommended for adolescents and young adults fall into categories about which young people have privacy concerns. These include at least some services in all recommended areas of prevention. Sometimes the privacy concerns are associated with a visit for a specific purpose, such as family planning; on other occasions concerns about confidentiality arise when sensitive issues, such as STDs, HIV, or substance use, are addressed during a well visit.

Not all preventive services raise heightened privacy concerns for adolescents and young adults; but when they do, it is important to understand when confidentiality can—and when it cannot—be assured. For young adults, who are able to consent to their own care and are entitled to the same confidentiality protections as other adults, any preventive health service they receive should be treated as confidential, meaning that information usually should not be disclosed to parents or others without their permission. For minor adolescents, if they are allowed to consent for their own care under the Minnesota minor consent laws, they can usually expect confidentiality, subject to any disclosures that are specifically permitted or required by law. For both adolescents and young adults, other legal and ethical disclosure obligations, such as when a patient is dangerous to self or others, must be considered. There are no specific confidentiality requirements for preventive services; the extent of confidentiality protection depends on the service as well as the age and other characteristics of the young person.

CONCLUSION

Confidentiality in adolescent and young adult health care is an important element in protecting the health of individual young people and the public health. Decades of research have found that privacy protection encourages young people to seek essential health care and speak openly with their health care providers. Many state and federal laws as well as ethical guidelines require confidentiality protection and support the rights of adolescents and young adults to receive confidential health care including many preventive health services.
## TABLE 1: MINNESOTA HEALTH CARE CONSENT LAWS FOR MINORS

### Minnesota Minor Consent Laws Based on Status

<table>
<thead>
<tr>
<th>Status</th>
<th>Minor Consent</th>
<th>Scope/Limitations</th>
<th>Citations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age of majority†</td>
<td>&lt; 18 – No</td>
<td>Age of majority is 18</td>
<td>Minn. Stat. Ann. §§ 645.45(3) and (14), 645.451(2)</td>
</tr>
<tr>
<td></td>
<td>≥ 18 – Yes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minor living apart</td>
<td>Yes</td>
<td>May consent for medical, dental, mental, or other health services if living apart from parents, regardless of duration, &amp; managing own financial affairs</td>
<td>Minn. Stat. Ann. § 144.341</td>
</tr>
<tr>
<td>Married minor</td>
<td>Yes</td>
<td>May consent for medical, dental, mental, or other health services if minor has been married</td>
<td>Minn. Stat. Ann. § 144.342</td>
</tr>
<tr>
<td>Minor parent</td>
<td>Yes</td>
<td>May consent for medical, dental, mental, or other health services for self or child</td>
<td>Minn. Stat. Ann. § 144.342</td>
</tr>
</tbody>
</table>

### Minnesota Minor Consent Laws Based on Services

<table>
<thead>
<tr>
<th>Service</th>
<th>Minor Consent</th>
<th>Scope/Limitations</th>
<th>Citations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emergency services</td>
<td>Yes, with limitations</td>
<td>Consent not required if, in professional’s judgment, risk to minor’s health is such that treatment is needed without delay and requiring consent would delay or deny treatment</td>
<td>Minn. Stat. Ann. § 144.344</td>
</tr>
<tr>
<td>Contraceptives/</td>
<td>Yes</td>
<td>Minor may consent for medical, mental, and other services to determine presence of or treat pregnancy; giving contraceptives to minors without parental consent is not criminal conduct by physician (Note: See Table 2 re Title X Family Planning)</td>
<td>Minn. Stat. Ann. § 144.343(1) Minn. Op. Att’y Gen. 494-b-39, August 25, 1972</td>
</tr>
<tr>
<td>family planning</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>STDs/HIV/AIDS care</td>
<td>Yes</td>
<td>Minor may consent for medical, mental, and other services to determine presence of or treat venereal disease (Note: See Table 2 re Title X Family Planning)</td>
<td>Minn. Stat. Ann. § 144.343(1)</td>
</tr>
<tr>
<td>Pregnancy care</td>
<td>Yes</td>
<td>Minor may consent for medical, mental, and other services to determine presence of or treat pregnancy and conditions associated with pregnancy</td>
<td>Minn. Stat. Ann. § 144.343(1)</td>
</tr>
<tr>
<td>Abortion</td>
<td>No, with exceptions</td>
<td>Minnesota law contains detailed requirements for minors to receive abortion services (See Appendix A)</td>
<td>Minn. Stat. Ann. § 144.343(2)-(6); Hodgson v. Minnesota</td>
</tr>
<tr>
<td>Mental health –</td>
<td>Yes, with</td>
<td>Minor may consent for “mental services” to determine presence of or treat pregnancy, conditions associated with pregnancy, venereal disease, and alcohol and other drug abuse</td>
<td>Minn. Stat. Ann. § 144.343(1)</td>
</tr>
<tr>
<td>outpatient†</td>
<td>limitations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alcohol/drug abuse –</td>
<td>Yes</td>
<td>Minor may consent for medical, mental, and other services to determine presence of or treat alcohol and other drug abuse</td>
<td>Minn. Stat. Ann. § 144.343(1)</td>
</tr>
<tr>
<td>outpatient§</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hepatitis B</td>
<td>Yes</td>
<td>Minor may consent for Hepatitis B vaccination</td>
<td>Minn. Stat. Ann. § 144.344</td>
</tr>
<tr>
<td>Tuberculosis</td>
<td>Yes, with</td>
<td>School or Board of Health may administer TB testing with notification to students and their parents; minor may consent consistent with MN minor consent laws</td>
<td>Minn. Stat. Ann. § 144.442</td>
</tr>
<tr>
<td></td>
<td>limitations</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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* This table contains only brief summary information about the laws; more detailed information and selected excerpts of the laws are contained in Appendix A.
† Parent consent is generally required for minors under age 18 unless one of the exceptions in the minor consent laws apply; young adults age 18 or older generally may consent for themselves.
‡ This table does not contain information about minor consent or parent consent for inpatient mental health treatment of minor adolescents.
§ This table does not contain information about minor consent or parent consent for inpatient treatment for alcohol or drug abuse.
### TABLE 2: MINNESOTA & FEDERAL CONFIDENTIALITY LAWS FOR MINORS*

<table>
<thead>
<tr>
<th><strong>Minnesota Confidentiality Laws for Minors</strong></th>
<th><strong>Federal Confidentiality Laws for Minors</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Scope of Protection/Limitations</strong></td>
<td><strong>Citation</strong></td>
</tr>
<tr>
<td>Disclosure to parents</td>
<td>Medical professional may inform a parent or guardian of treatment given or needed for which a minor is authorized to give consent when, in the professional’s judgment, failure to inform the parent or guardian would seriously jeopardize the health of the minor</td>
</tr>
<tr>
<td>Medical records</td>
<td>Minnesota Health Records Act contains provisions related to access to and disclosure of oral and written patients’ physical and mental health records and payment information</td>
</tr>
<tr>
<td>Medical records – patient</td>
<td>For minors, definition of “patient” generally includes parent; when minors have consented for services, definition of “patient” does not include parent</td>
</tr>
<tr>
<td>Medical records - access</td>
<td>Patients have a right of access to their health records, except in specified circumstances when the information would be detrimental to the patient or likely to cause patient to harm self or others</td>
</tr>
<tr>
<td>Medical records - disclosure</td>
<td>Patients’ health records may only be released with written consent of the patient (including minors who have consented under Minnesota law) or if specifically authorized by law</td>
</tr>
<tr>
<td>Child abuse reporting</td>
<td>Health care providers are required to make a report to child welfare or law enforcement when they know or have reason to believe that a minor is being or has been abused by a parent or person responsible for the child within the past three years</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Federal Confidentiality Laws for Minors</strong></th>
<th><strong>Citations</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Scope of Protection/Limitations</strong></td>
<td><strong>Citations</strong></td>
</tr>
<tr>
<td>HIPAA Privacy Rule – minor as individual</td>
<td>A minor who consents to health care, or whose parent accedes to confidentiality, is an “individual” with control over their own protected health information (PHI)</td>
</tr>
<tr>
<td>HIPAA Privacy Rule – parent as personal representative</td>
<td>Parents are not necessarily the personal representative when minors have consented to their own care; parent may not be personal representative if minor subject to domestic violence, abuse, neglect, or endangerment</td>
</tr>
<tr>
<td>HIPAA Privacy Rule – parents’ access</td>
<td>Parents’ access to PHI when minor is the “individual” depends on other state and federal laws; parents’ access may be denied if health care professional determines it would cause substantial harm to minor or another individual</td>
</tr>
<tr>
<td>FERPA</td>
<td>Information about health services provided by a school may be included in a students’ “education records” and subject to FERPA, not HIPAA; parents have access to minors’ education records</td>
</tr>
<tr>
<td>Title X Family Planning</td>
<td>Information about family planning services received at Title X funded sites is confidential and may only be disclosed with the minor’s permission or if required by law</td>
</tr>
<tr>
<td>Medicaid</td>
<td>Adolescent minors who are eligible for Medicaid may receive confidential family planning services funded by Medicaid</td>
</tr>
<tr>
<td>Drug &amp; alcohol—“substance use disorder”—programs</td>
<td>In federally assisted programs, consent for disclosure must be obtained from minor who is authorized under state law to consent for alcohol or drug abuse treatment; disclosure to parents may occur only if minor lacks capacity for rational choice due to extreme youth, physical incapacity, or substantial threat to minor or another</td>
</tr>
</tbody>
</table>

* This table includes information about selected state and federal confidentiality laws that pertain to minors’ health information. It contains only brief summary information about the laws; more detailed information is included in Appendix A and Appendix B.
### Table 3: Minnesota & Federal Confidentiality Laws for Young Adults

<table>
<thead>
<tr>
<th>Minnesota Confidentiality Laws for Young Adults</th>
<th>Citation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medical records</td>
<td>Minn. Stat. Ann. §§ 144.291-144.298</td>
</tr>
<tr>
<td>Medical records - access</td>
<td>Minn. Stat. Ann. § 144.292</td>
</tr>
<tr>
<td>Medical records - disclosure</td>
<td>Minn. Stat. Ann. § 144.293</td>
</tr>
<tr>
<td>Medical records – mental health</td>
<td>Minn. Stat. Ann. § 144.294</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Federal Confidentiality Laws for Young Adults</th>
<th>Citation</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIPAA Privacy Rule - generally</td>
<td>45 C.F.R. §§ 502, 524, 528</td>
</tr>
<tr>
<td>HIPAA Privacy Rule – special confidentiality protections</td>
<td>45 C.F.R. §§ 164.502(h), 164.522(a)(1), and 164.522(b)(1)</td>
</tr>
<tr>
<td>FERPA</td>
<td>20 U.S.C §1232g, 34 C.F.R. Part 99; 45 C.F.R. § 160.103</td>
</tr>
<tr>
<td>Title X Family Planning</td>
<td>42 C.F.R. § 59.11</td>
</tr>
<tr>
<td>Medicaid</td>
<td>42 U.S.C. § 1396a(a)(7)</td>
</tr>
<tr>
<td>Drug &amp; alcohol—“substance use disorder”—programs</td>
<td>42 C.F.R. Part 2</td>
</tr>
</tbody>
</table>

* This table includes information about selected state and federal confidentiality laws that pertain to young adults’ health information. It contains only brief summary information about the laws; more detailed information about some of these laws is included in Appendix B.
**APPENDIX A: MINNESOTA HEALTH CARE CONSENT & CONFIDENTIALITY LAWS FOR MINORS**

This appendix contains brief summaries of Minnesota consent and confidentiality laws that apply to health services received by minors.

**Minor Consent Based on Status**

**Age of Majority**

*Minn. Stat. Ann. §§ 645.45(3) and (14); 645.451(2)*

The age of majority is 18.

**Emancipated Minors**

There is no specific Minnesota law expressly authorizing emancipated minors to consent for health care or establishing a statutory procedure for minors to become emancipated. Emancipated minors are generally considered to be able to consent for their own health care. Some Minnesota court decisions and statutes have recognized the concept of emancipated minor as having some or all of the same legal rights and obligations as an adult. See *Sonnenberg v. County of Hennepin*, 99 N.W.2d 444, 447-448 (Minn. 1959); *Lundstrom v. Mample* 285 N.W. 83 (Minn. 1939); In re *Fihr* 184 N.W.2d 22 (Minn. 1971) (parental consent or act). Minn. Stat. § 260C.201, subd. 1, para. (a), cl. (5); *Minn. Stat. § 121A.15, subd.3, para (d)*. Minn. Stat. § 168.101, sub.1. Minn. Stat. § 256D.05, subd.1, para (a), cl. (10).  

**Minor Living Apart**

*Minn. Stat. Ann. § 144.341*

Any minor who is living separate and apart from parents or guardian, with or without their consent and regardless of the duration of separation, and who is managing his or her own financial affairs regardless of the source or extent of the minor’s income may consent for personal medical, dental, mental, or other health services. The consent of no other person is required.

**Married Minor**

*Minn. Stat. Ann. § 144.342*

Any minor who has been married may consent for personal medical, mental, dental, and other health services. The consent of no other person is required.

**Minor Parent**

*Minn. Stat. Ann. § 144.342*

Any minor who has borne a child may consent for personal medical, mental, dental, and other health services, or to services for the minor’s child. The consent of no other person is required.
Minor Consent Based on Services

Emergency Services

Minn. Stat. Ann. § 144.344
Medical, dental, mental and other health services may be given to minors of any age without consent (either from a parent or guardian or the minor) when, in the professional's judgment, the risk to the minor's life or health is of such a nature that treatment should be given without delay and the requirement of consent would delay or deny treatment.

Contraception/Family Planning

Minn. Stat. Ann. § 144.343(1)
Any minor may consent for medical, mental and other health services to determine the presence of or to treat pregnancy and conditions associated with pregnancy. The consent of no other person is required. In Minn. Op. Att'y Gen. 494-b-39, August 25, 1972, Minnesota Attorney General stated that the practice of giving contraceptives to minors without parental consent by physicians is not criminal conduct.
Note: Minors may receive confidential family services based on their own consent at sites funded by the federal Title X Family Planning Program. See Appendix B.
Note: Under FDA rules for emergency contraception, Plan B and its generic equivalents are available “over the counter” without a prescription for individuals of any age; Ella is available with a prescription. 74

Pregnancy Related Care

Minn. Stat. Ann. § 144.343(1)
Any minor may consent for medical, mental and other health services to determine the presence of or to treat pregnancy and conditions associated with pregnancy. The consent of no other person is required.

Abortion

Minn. Stat. Ann. § 144.343(2)-(6)
This statute provides that an abortion shall not be performed upon an unemancipated minor until after written notice has been delivered to both of the minor’s parents or her guardian. Notification to one parent, if the other cannot be located, is sufficient. The law includes a judicial bypass, an emergency exception, and an exception for sexual abuse, neglect, or physical abuse. In Hodgson v. Minnesota, 497 U.S. 417, 110 S. Ct. 2926 (1990), the U.S. Supreme Court invalidated the part of the statute requiring that both parents be notified of the minor’s intent to have an abortion, regardless of whether or not the parent has assumed any responsibility for upbringing the child. The rest of the statute, however, was held to be constitutional and enforceable.

STD/HIV

Minn. Stat. Ann. § 144.343(1)
Any minor may consent for medical, mental and other health services to determine the presence of or to treat venereal disease. The consent of no other person is required. This section is relied on to allow minors to consent for testing and treatment for a broad range STDs and STIs including HIV/AIDS.
Drug/Alcohol Care

*Minn. Stat. Ann. § 144.343(1)*
Any minor may consent for medical, mental and other health services to determine the presence of or to treat alcohol and other drug abuse. The consent of no other person is required.

Outpatient Mental Health Services

*Minn. Stat. Ann. § 144.343(1)*
Any minor may give effective consent for medical, mental, and other health services to determine the presence of or to treat pregnancy and conditions associated therewith, venereal disease, alcohol and other drug abuse. The consent of no other person is required.

Hepatitis B Vaccination

*Minn. Stat. Ann. § 144.3441*
A minor may give effective consent for a hepatitis B vaccination. The consent of no other person is required.

Tuberculosis Screening

*Minn. Stat. Ann. § 144.442*
A school or board of health may administer tuberculosis testing to some or all persons enrolled by the designated school. Any such testing shall be under the direction of a licensed physician. Prior to screening a minor for tuberculosis, the school shall inform in writing such persons and parents or guardians of minors. Minors may give consent to tuberculosis testing as set forth in *Minn. Stat. Ann. §§ 144.341 through 144.347*.

Confidentiality & Disclosure

Note: The Minnesota laws related to confidentiality, disclosure, and medical records must be understood in relation to the HIPAA Privacy Rule.

Disclosure to Parents

*Minn. Stat. Ann. § 144.346*
A medical professional may inform the minor's parent or guardian of any treatment given or needed for which the minor is authorized to give consent under *Minn. Stat. Ann. §§ 144.341 through 144.3441*, when, in the professional's judgment, failure to inform the parent or guardian would seriously jeopardize the health of the minor.

*Minn. Stat. Ann. § 144.442*
When a school or board of health administers tuberculosis testing to some or all persons enrolled in a school, prior to screening a minor, the school shall inform in writing such persons and parents or guardians of minors.
Medical Records

*Minn. Stat. Ann. § 144.291(2)(g)*
“Patient”—in the case of minors—is defined as including the parent or guardian, or a person acting as a parent or guardian, unless the minor has received health care services under *Minn. Stat. Ann. §§ 144.341 through 141.347*, in which case the minor alone is the patient.

*Minn. Stat. Ann. § 144.292*
Patients shall have access to their medical records, with certain exceptions.

*Minn. Stat. Ann. § 144.292(7)(a)*
If a provider reasonably determines that the information is detrimental to the physical or mental health of the patient or is likely to cause the patient to inflict self-harm, or to harm another, the provider may withhold the information from the patient and may supply the information to an appropriate third party or to another provider. The other provider or third party may release the information to the patient.

*Minn. Stat. Ann. § 144.293*
Patients’ health records may only be released with written consent of the patient (including minors who have consented under Minnesota law) or if specifically authorized by law, subject to specific exceptions, such as in an emergency when the patient is unable to consent.

Child Abuse Reporting

*Minn. Stat. Ann. § 626.556*
Health care providers who know or have reason to believe that a minor is being abused, or has been abused within the past three years, are required to make a report to child welfare or law enforcement. The Minnesota definition of child abuse includes physical abuse; neglect; sexual abuse, including sexual exploitation or sex trafficking; and emotional abuse. Abuse and neglect are generally reportable if they have been done by a parent or person responsible for the child. However, sexual abuse based on prostitution offenses or child sex trafficking is reportable even if the offenses are not committed by a parent or person responsible for the child.

Note: For a discussion of the different legal significance of “sexual abuse” and “sexual assault,” see the discussion in the background section “Confidentiality Laws for Minors in Minnesota.”

Financial Responsibility

*Minn. Stat. Ann. § 144.347*
A minor who consents for services pursuant to *Minn. Stat. Ann. §§ 144.341 through 144.3441* is financially responsible for the cost of the services.

Good Faith Reliance

*Minn. Stat. Ann. § 144.345*
The consent of a minor who claims to be able to give effective consent for the purpose of receiving medical, dental, mental or other health services but who may not in fact do so, shall be deemed effective without the consent of the minor’s parent or legal guardian, if the person rendering the service relied in good faith upon the representations of the minor.
**APPENDIX B: FEDERAL CONFIDENTIALITY LAWS**

This appendix contains brief summaries and excerpts of the text of selected federal statutes and regulations that provide confidentiality protection for health information and services provided to adolescent minors and young adults.

**HIPAA Privacy Rule**

The HIPAA Privacy Rule contains protections for both minors and young adults. In 45 C.F.R. § 160.502(g)(3) the rule specifies when a minor is considered an individual who has rights with respect to their own protected health information PHI and whose parent is not necessarily their personal representative with access to their PHI. In 45 C.F.R. § 160.502(g)(5) the rule specifies when a parent is not necessarily the personal representative of a minor due to abuse, neglect, domestic violence, or endangerment, or if it would not be in the minor’s best interest. In 45 C.F.R. §§ 160.502(h) and 160.522 the rule specifies special confidentiality protections for individuals: the right to request restrictions on disclosure of PHI; and the right to request confidential communications.


“. . . (g)(1) Standard: Personal representatives. As specified in this paragraph, a covered entity must, except as provided in paragraphs (g)(3) and (g)(5) of this section, treat a personal representative as the individual for purposes of this subchapter.

(2) Implementation specification: adults and emancipated minors. If under applicable law a person has authority to act on behalf of an individual who is an adult or an emancipated minor in making decisions related to health care, a covered entity must treat such person as a personal representative under this subchapter, with respect to protected health information relevant to such personal representation.

(3)(i) Implementation specification: unemancipated minors. If under applicable law a parent, guardian, or other person acting in loco parentis has authority to act on behalf of an individual who is an unemancipated minor in making decisions related to health care, a covered entity must treat such person as a personal representative under this subchapter, with respect to protected health information relevant to such personal representation, except that such person may not be a personal representative of an unemancipated minor, and the minor has the authority to act as an individual, with respect to protected health information pertaining to a health care service, if:

(A) The minor consents to such health care service; no other consent to such health care service is required by law, regardless of whether the consent of another person has also been obtained; and the minor has not requested that such person be treated as the personal representative;

(B) The minor may lawfully obtain such health care service without the consent of a parent, guardian, or other person acting in loco parentis, and the minor, a court, or another person authorized by law consents to such health care service; or

(C) A parent, guardian, or other person acting in loco parentis assents to an agreement of confidentiality between a covered health care provider and the minor with respect to such health care service.

(ii) Notwithstanding the provisions of paragraph (g)(3)(i) of this section:

(A) If, and to the extent, permitted or required by an applicable provision of State or other law, including applicable case law, a covered entity may disclose, or provide access in accordance with § 164.524 to, protected health information about an unemancipated minor to a parent, guardian, or other person acting in loco parentis;
(B) If, and to the extent, prohibited by an applicable provision of State or other law, including applicable case law, a covered entity may not disclose, or provide access in accordance with § 164.524 to, protected health information about an unemancipated minor to a parent, guardian, or other person acting in loco parentis; and

(C) Where the parent, guardian, or other person acting in loco parentis, is not the personal representative under paragraphs (g)(3)(i)(A), (B), or (C) of this section and where there is no applicable access provision under State or other law, including case law, a covered entity may provide or deny access under § 164.524 to a parent, guardian, or other person acting in loco parentis, if such action is consistent with State or other applicable law, provided that such decision must be made by a licensed health care professional, in the exercise of professional judgment.

. . .

(5) Implementation specification: Abuse, neglect, endangerment situations. Notwithstanding a State law or any requirement of this paragraph to the contrary, a covered entity may elect not to treat a person as the personal representative of an individual if:

(i) The covered entity has a reasonable belief that:

(A) The individual has been or may be subjected to domestic violence, abuse, or neglect by such person; or

(B) Treating such person as the personal representative could endanger the individual; and

(ii) The covered entity, in the exercise of professional judgment, decides that it is not in the best interest of the individual to treat the person as the individual’s personal representative.

(h) Standard: Confidential communications. A covered health care provider or health plan must comply with the applicable requirements of § 164.522(b) in communicating protected health information.

. . .

45 C.F.R. § 164.522 Rights to request privacy protection for protected health information

“(a)(1) Standard: Right of an individual to request restriction of uses and disclosures. (i) A covered entity must permit an individual to request that the covered entity restrict:

(A) Uses or disclosures of protected health information about the individual to carry out treatment, payment, or health care operations; and

(B) Disclosures permitted under § 164.510(b).

(ii) Except as provided in paragraph (a)(1)(vi) of this section, a covered entity is not required to agree to a restriction.

(iii) A covered entity that agrees to a restriction under paragraph (a)(1)(i) of this section may not use or disclose protected health information in violation of such restriction, except that, if the individual who requested the restriction is in need of emergency treatment and the restricted protected health information is needed to provide the emergency treatment, the covered entity may use the restricted protected health information, or may disclose such information to a health care provider, to provide such treatment to the individual.

(iv) If restricted protected health information is disclosed to a health care provider for emergency treatment under paragraph (a)(1)(iii) of this section, the covered entity must request that such health care provider not further use or disclose the information.

(v) A restriction agreed to by a covered entity under paragraph (a) of this section, is not effective under this subpart to prevent uses or disclosures permitted or required under §§ 164.502(a)(2)(ii), 164.510(a) or 164.512.

(vi) A covered entity must agree to the request of an individual to restrict disclosure of protected health information about the individual to a health plan if:

(A) The disclosure is for the purpose of carrying out payment or health care operations and is not otherwise required by law; and
(B) The protected health information pertains solely to a health care item or service for which the individual, or person other than the health plan on behalf of the individual, has paid the covered entity in full.

(2) Implementation specifications: Terminating a restriction. A covered entity may terminate a restriction, if:

(i) The individual agrees to or requests the termination in writing;
(ii) The individual orally agrees to the termination and the oral agreement is documented; or
(iii) The covered entity informs the individual that it is terminating its agreement to a restriction, except that such termination is:
   (A) Not effective for protected health information restricted under paragraph (a)(1)(vi) of this section; and
   (B) Only effective with respect to protected health information created or received after it has so informed the individual.

(3) Implementation specification: Documentation. A covered entity must document a restriction in accordance with § 160.530(j) of this subchapter.

(b)(1) Standard: Confidential communications requirements. (i) A covered health care provider must permit individuals to request and must accommodate reasonable requests by individuals to receive communications of protected health information from the covered health care provider by alternative means or at alternative locations.

(ii) A health plan must permit individuals to request and must accommodate reasonable requests by individuals to receive communications of protected health information from the health plan by alternative means or at alternative locations, if the individual clearly states that the disclosure of all or part of that information could endanger the individual.

(2) Implementation specifications: Conditions on providing confidential communications.

(i) A covered entity may require the individual to make a request for a confidential communication described in paragraph (b)(1) of this section in writing.

(ii) A covered entity may condition the provision of a reasonable accommodation on:
   (A) When appropriate, information as to how payment, if any, will be handled; and
   (B) Specification of an alternative address or other method of contact.

(iii) A covered health care provider may not require an explanation from the individual as to the basis for the request as a condition of providing communications on a confidential basis.

(iv) A health plan may require that a request contain a statement that disclosure of all or part of the information to which the request pertains could endanger the individual.”

Title X Family Planning Services

42 C.F.R. § 59.11 – Confidentiality

“All information as to personal facts and circumstances obtained by the project staff about individuals receiving services must be held confidential and must not be disclosed without the individual’s documented consent, except as may be necessary to provide services to the patient or as required by law, with appropriate safeguards for confidentiality. Otherwise, information may be disclosed only in summary, statistical, or other form which does not identify particular individuals.”

* On March 4, 2019 the U.S. Department of Health and Human Services published a final rule, “Compliance with Statutory Program Integrity Requirements,” that would significantly alter the federal regulations for the Title X Program. This guide does not discuss the changes that would result from implementation of the new rule. Detailed analysis of the rule and updates on its status are available elsewhere. The new rule has been challenged in numerous lawsuits.
Medicaid

42 U.S.C. § 1396a(a)(7)
State Medicaid plans are required to provide “safeguards for confidentiality for information concerning applicants and recipients.” [Note: The section contains additional specific requirements and exceptions.]

42 U.S.C. § 1396d(a)(4)(C)
For purposes of the Medicaid program, this title [42 USCS §§ 1396 et seq.]--
“(a) Medical assistance. The term "medical assistance" means payment of part or all of the cost of the following care and services . . .(4) . . . (C) family planning services and supplies furnished (directly or under arrangements with others) to individuals of childbearing age (including minors who can be considered to be sexually active) who are eligible under the State plan and who desire such services and supplies[.]”

Drug & Alcohol Programs

42 C.F.R. § 2.14. Minor patients
“(a) State law not requiring parental consent to treatment. If a minor patient acting alone has the legal capacity under the applicable state law to apply for and obtain substance use disorder treatment, any written consent for disclosure authorized under subpart C of this part may be given only by the minor patient. This restriction includes, but is not limited to, any disclosure of patient identifying information to the parent or guardian of a minor patient for the purpose of obtaining financial reimbursement. These regulations do not prohibit a part 2 program from refusing to provide treatment until the minor patient consents to the disclosure necessary to obtain reimbursement, but refusal to provide treatment may be prohibited under a state or local law requiring the program to furnish the service irrespective of ability to pay.
(b) State law requiring parental consent to treatment.
   (1) Where state law requires consent of a parent, guardian, or other individual for a minor to obtain treatment for a substance use disorder, any written consent for disclosure authorized under subpart C of this part must be given by both the minor and their parent, guardian, or other individual authorized under state law to act in the minor’s behalf.
   (2) Where state law requires parental consent to treatment, the fact of a minor’s application for treatment may be communicated to the minor’s parent, guardian, or other individual authorized under state law to act in the minor’s behalf only if:
      (i) The minor has given written consent to the disclosure in accordance with subpart C of this part; or
      (ii) The minor lacks the capacity to make a rational choice regarding such consent as judged by the part 2 program director under paragraph (c) of this section.
(c) Minor applicant for services lacks capacity for rational choice. Facts relevant to reducing a substantial threat to the life or physical well-being of the minor applicant or any other individual may be disclosed to the parent, guardian, or other individual authorized under state law to act in the minor's behalf if the part 2 program director judges that:
   (1) A minor applicant for services lacks capacity because of extreme youth or mental or physical condition to make a rational decision on whether to consent to a disclosure under subpart C of this part to their parent, guardian, or other individual authorized under state law to act in the minor’s behalf; and
   (2) The minor applicant’s situation poses a substantial threat to the life or physical well-being of the minor applicant or any other individual which may be reduced by communicating relevant facts to the minor’s parent, guardian, or other individual authorized under state law to act in the minor's behalf.”
APPENDIX C: KEY QUESTIONS FOR CONFIDENTIALITY PROTECTION

This appendix contains questions that are important to consider in order to determine whether an individual young person in Minnesota can obtain a particular service confidentially. These questions are based on the Minnesota and federal laws that establish consent requirements and confidentiality protections for adolescent and young adult health services. Depending on the specific situation additional considerations, and laws not discussed in this guide, may affect whether the young person may receive confidential services.

▪ Is the youth an adult or a minor?
  ➢ Young adults are generally able to consent for their own care and are entitled to the same confidentiality protections as other adults.
  ➢ Minor adolescents may be able to consent for their own care based their status or the services they are seeking; confidentiality protection may depend on whether they can consent for their own care, the specific service they receive, where they receive the service, and the source of the payment.

▪ If the young person is a minor, what is their status?
  ➢ Emancipated
  ➢ Living apart from parents
  ➢ Married
  ➢ A parent

▪ What service is the young person seeking?
  ➢ Emergency services
  ➢ Contraception
  ➢ STD services
  ➢ HIV/AIDS services
  ➢ Pregnancy care
  ➢ Mental health services
  ➢ Drug/alcohol abuse services
  ➢ Immunizations

▪ Where is the service being provided?
  ➢ General medical office, health center, or hospital outpatient clinic
  ➢ Title X family planning health center
  ➢ Substance use disorder treatment program

▪ What is the source of the payment?
  ➢ Private/commercial health insurance
  ➢ Self-pay
  ➢ Parent payment
  ➢ Medicaid
  ➢ Title X Family Planning Program
  ➢ Other federal or state funding
**APPENDIX D: LEGAL RESOURCES FOR ADOLESCENT & YOUNG ADULT HEALTH & THE LAW IN MINNESOTA**


Legal Action Center. Substance Use: Confidentiality Resources. [https://lac.org/resources/substance-use-resources/confidentiality-resources/](https://lac.org/resources/substance-use-resources/confidentiality-resources/).


APPENDIX E: RESOURCES ON CONFIDENTIALITY, HEALTH INSURANCE, AND ELECTRONIC HEALTH RECORDS

Confidentiality & Insurance

Extensive resources on confidentiality and insurance were developed by the National Family Planning & Reproductive Health Association as part of a three-year research project, Confidential & Covered. These resources are available on the project’s website at https://www.confidentialandcovered.com/. The following publications on that website specifically address legal and policy issues related to confidentiality and insurance:

- English A, Mulligan A, Coleman C. Protecting Patients’ Privacy in Health Insurance Billing & Claims: An Illinois Profile (2017) [Note: Similar profiles were published for 5 other states studied as part of the Confidential & Covered project: Maryland and Oregon in 2017; California, Colorado, and Washington in 2016]

Confidentiality & Electronic Health Records


APPENDIX F: MATURE MINOR DOCTRINE

The mature minor doctrine was developed in court decisions and is part of the common law. “Mature minor” is generally understood to mean a minor who, in the eyes of the medical professional, exhibits the maturity to authorize his or her own health care. Even in the absence of a specific statute, “mature minors” may have the legal capacity to give consent for their own care.

The mature minor doctrine emerged from court decisions addressing the circumstances in which a physician could be held liable in damages for providing care to a minor without parental consent. In a few states, courts explicitly have chosen not to hold practitioners liable for delivering non-negligent care that is not high risk, is within the mainstream of established medical opinion, and is for the minor’s benefit to a “mature” minor capable of providing informed consent. The basic criteria for determining whether a patient is capable of giving an informed consent are that the patient must be able to understand the risks and benefits of any proposed treatment or procedure and its alternatives, and must be able to make a voluntary choice among the alternatives. These criteria for informed consent apply to minors, as well as adults.

Lawyers, psychologists, and physicians do not always agree about the validity and application of the mature minor doctrine. Nevertheless, a strong rationale has been articulated that recognition of a mature minor’s capacity to make medical decisions is consistent with research on adolescent development.
This appendix lists selected articles from the past 25 years that form an important part of the evidence base of research findings supporting confidentiality in adolescent and young adult health care.

*Adolescent and Young Adult Perspectives*


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Jones RK, Purcell A, Singh S, Finer LB. Adolescents’ reports of parental knowledge of adolescents’ use of sexual health services and their reactions to mandated parental notification for prescription contraception. *JAMA.* 2005;293(3):340-348. doi:[10.1001/jama.293.3.340](https://doi.org/10.1001/jama.293.3.340)


**Health Care Provider Perspectives and Availability of Confidential Services**


**Parent Perspectives**


Irwin CE. Time alone for adolescents with their providers during clinical encounters: It is not that simple! *J Adolesc Health*. 2018;63(3):265-266. doi:[10.1016/j.jadohealth.2018.06.014](https://doi.org/10.1016/j.jadohealth.2018.06.014)


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13. Extensive resources on confidentiality and insurance were developed by the National Family Planning & Reproductive Health Association as part of a three year research project, Confidential & Covered. These resources are available at https://www.confidentialandcovered.com/.
18. Minn. Stat. Ann. §§ 144.343, 144.344, 144.3441, 144.442.
A Guide to Understanding Consent & Confidentiality Laws

27 Minn. Stat. Ann. §§ 144.291 (2); 144.341-141.347.
36 45 C.F.R. § 164.502(g)(5).
37 45 C.F.R. § 164.502(g)(3)(ii).
38 45 C.F.R. § 164.502(g)(3)(ii)(A) and (B).
40 45 C.F.R. §§ 164.502(h), 164.522(a)(1), and 164.522(b)(1).
41 45 C.F.R. § 164.512(c).
44 45 C.F.R. § 160.103 (definition of “protected health information”).
46 42 C.F.R. § 59.11.
48 42 C.F.R. § 59.11.
60 Legal Action Center. Substance Use: Confidentiality Resources. https://lac.org/resources/substance-use-resources/confidentiality-resources/.
61 42 C.F.R. §§ 2.11, 2.12.
63 42 C.F.R. § 2.13.
64 42 C.F.R. § 2.20.
65 42 U.S.C. §§ 300ff et seq.
67 42 U.S.C. §§ 254b et seq.
70 42 C.F.R. § 51c.110.
71 42 C.F.R. § 59.11.
75 E.g., Cardwell v. Bechtol, 724 S.W.2d 739 (Tenn., 1987); Younts v. St. Francis Hospital, 469 P.2d 330 (Kan., 1970).